

## II. SUMMARY OF FINDINGS

### A. Governance and Institutional Control

1. General Observations – Institutional oversight of the athletics department is a strength of the institution. President Carter remains engaged in the process and continues to personally monitor the progress of the athletics department. The President is clear about his expectation that there be a culture of compliance. The Director of Athletics has a direct reporting line to the President, meets weekly as part of the President's senior staff, and has regular access to the President as needed. The FAR meets with the President and is on the Compliance Committee, with appropriate release time to accomplish his duties. The President participates in the fall meeting with student-athletes and takes an active role in resolving all potential NCAA violations. The President, Director of Athletics, and FAR meet as needed. The Compliance Committee meets monthly and is well poised to provide additional compliance oversight to the current compliance systems.

2. Key Strengths – The established leadership and structure at the institution is a valuable asset for the compliance program. It was made clear through campus interviews that individuals involved in NCAA compliance matters have appropriate and active channels of communication and are comfortable seeking answers when a compliance issue arises.

Education to donors is engaged and functioning. Donors to the athletics department's Swamp Fox Club are provided a NCAA rules brochure related to representatives of athletics interests each time they are sent a thank you letter for their donation, both cash and in-kind. The Director of Athletics has occasional meetings with donors and sponsors throughout the year and always includes a discussion about the role and requirements to be a representative of the University's athletics interests.

3. Principle Concerns – Rules education for individuals within the athletics department has been consistent, but primarily is focused on updates and reminders. Newly hired coaches and athletics department staff members require additional education